

Voit & Company, LLC
Form CRS Client Relationship Summary
June 2020

Voit & Company, LLC (“V&C”) is an investment advisor registered with the U.S. Securities & Exchange Commission (“SEC”). Please note that brokerage and investment advisory services and fees differ and it is important for you to understand the differences. Free and simple tools are available to research firms and financial professionals at www.Investor.gov/CRS, which also provides educational materials regarding broker-dealers, investment advisors and investing.

What investment services and advice can you provide me?

V&C offers investment advisory services for accounts to retail investors, including:

- Building **customized portfolios** based on analysis of your current financial situation and discussions with you regarding your goals, tolerance for risk, the expected time horizon for your investment and any current cash needs. We mainly select individual bonds and value-orientated stocks; however, your portfolio may also include options, exchange-traded funds (“ETFs”), mutual funds, or other securities. Tax efficiencies and implications are carefully considered in the management of your portfolio. An Investment Policy Statement is prepared for your managed accounts to detail our recommended strategy and define the investment parameters. We welcome client review meetings which are scheduled at your convenience and desired frequency.
- **Managed accounts** offer ongoing *monitoring* as part of our standard services. We request *Discretionary Authority* to grant us the authorization to determine the securities to purchase or sell, in the amounts and time we believe are suitable, for your portfolio based on your investment objective. Your approval is required for changes to your investment strategy.
- **Consulting accounts** are offered on a limited basis, are reviewed when services are requested and *do not offer ongoing monitoring* for future changes. Accounts are established with *discretionary authority* to allow us to purchase or sell securities on your behalf.
- The *minimum* to open a managed account is \$1,000,000, which may be waived at the firm’s discretion, and there are no future requirements to maintain the account with us. There are no minimum or future requirements to maintain an account for Consulting Accounts.

For additional information please see Items 4 and 7 in our Form ADV Part II available at www.voitcompany.com.

Conversation Starter. Ask your financial professional:

Given my financial situation, should I choose an investment advisory service? Why or why not?

How will you choose investments to recommend to me?

What is your relevant experience, including your licenses, education, and other qualifications? What do these qualifications mean?

What fees will I pay?

- Our ongoing *Asset-Based Fees* are calculated on the total market value of your account, including cash, at the end of each calendar quarter and are deducted from your advisory account. Fees are pro-rated for assets withdrawn or added during the period in excess of \$20,000. *The more assets in your account, the more you will pay in fees and we may therefore have an incentive to encourage you to increase your account’s assets.*
- *Other Fees* charged include an initial data gathering and set-up fee equal to one quarter of the annual asset-based fee for new client relationships; this fee is deducted from your advisory account or waived if certain criteria is met. Other costs may include custodian fees for transactions or services rendered and/or advisory and management fees charged by ETF’s or mutual funds.

- Consulting Clients are charged fees based on the complexity of services offered with a minimum of \$250 annually; fees above the minimum are negotiable. The fee is payable by check or deducted from your advisory account.

You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying.

For additional information please see Item 5 in our Form ADV Part II available at www.voitcompany.com.

Conversation Starter. Ask your financial professional:

Help me understand how these fees and costs may affect my investments. If I give you \$10,000 to invest how much will go to fees and costs, and how much will be invested for me?

What are your legal obligations to me when acting as my investment advisor? How else does your firm make money and what conflicts of interest do you have?

When we act as your investment advisor, we have to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about conflicts because they can affect the investment advice we provide you. Here is an example to help you understand what this means.

- Proprietary products: The Voit Micro Cap Fund, L.P. is a Regulation D fund offered by Voit Fund GP, LLC and managed by Voit & Company, LLC. The Fund, in addition to management fees, is eligible to earn performance fees creating a potential conflict of interest if a particular investment is appropriate for separately managed accounts as well.

For additional information please see Item 6 in our Form ADV Part II available at www.voitcompany.com.

Conversation Starter. Ask your financial professional:

How might your conflicts of interest affect me, and how will you address them?

How do your financial professionals make money?

Our financial professionals are compensated by salary rather than commissions.

Do you or your financial professionals have legal or disciplinary history?

No. Visit www.Investor.gov/CRS for a free and simple search tool to research us and our financial professionals.

Conversation Starter. Ask your financial professional:

As a financial professional, do you have any disciplinary history? For what type of conduct?

Additional Information

For additional information about our investment advisory services, please see our Form ADV at <https://adviserinfo.sec.gov/firm/summary/112587>. If you would like additional, up-to-date information or a copy of this Client Relationship Summary disclosure, please call us at (262) 784-2775, email us at info@voitcompany.com or visit us at www.voitcompany.com.

Conversation Starter. Ask your financial professional:

Who is my primary contact person?

Is he or she a representative of an investment advisor or a broker-dealer?

Who can I talk to if I have concerns about how this person is treating me?